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11

09/976,301

October 11, 2001

Steve Grove

2170

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3801P053

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~~Technology Center 2100~~

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<div style="border: 1px solid black; padding: 5px; width: fit-content;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	André L. Marais, Reg. No. 48,095 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	10/26/02

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Docket No.: 3801P053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

STEVE GROVE

Application No.: 09/976,301

Filed: October 11, 2001

For: **SYSTEM AND METHOD TO
FACILITATE TRANSLATION OF
COMMUNICATIONS BETWEEN
ENTITIES OVER A NETWORK**

Art Group: 2176

Examiner: Not Yet Assigned

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



André L. Marais, Reg. No. 48,095

Date: 10/24/02

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
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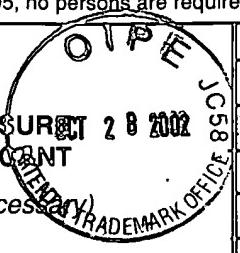
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)



Complete if Known

Application Number	09/976,301
Filing Date	10/11/01
First Named Inventor	Steve Grove
Group Art Unit	2176
Examiner Name	Not Yet Assigned

Sheet 1 of 8 Attorney Docket Number 3801P053

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	3,581,072		Nymayer	5/25/1971	3/28/1968
	3,573,747		Adams, et al.	4/6/1971	2/24/1969
	4,412,287		Braddock, III	10/25/1983	9/15/1982
	4,674,044		Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552		Sibley, Jr.	OCT 29 2002	6/30/1987
	4,789,928		Fujisaki	12/6/1988	1/30/1987
	4,799,156		Shavit, et al.	Technology Center 2100 1/17/1989	10/1/1986
	4,823,265		Nelson	4/18/1989	5/11/1987
	4,903,201		Wagner	2/20/1990	11/3/1983
	5,063,507		Lindsey, et al.	11/5/1991	9/14/1990
	5,077,665		Sliverman, et al.	12/31/1991	5/25/1989
	5,101,353		Lupien, et al.	3/31/1992	5/31/1989

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	Office or Country	Number	Date			
	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
1	MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6.	
2	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
3	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1	
4	NEO, B.S, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Application Number

09/976,301

Filing Date

10/11/01

First Named Inventor

Steve Grove

Group Art Unit

2176

Examiner Name

Not Yet Assigned

Sheet 2 of 8 Attorney Docket Number 3801P053

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	Number				
	5,136,501		Silverman, et al.	8/4/1992	5/26/1989
	5,168,446		Wiseman	12/1/1992	5/23/1989
	5,243,515		Lee	9/7/1993	10/30/1990
	5,258,908		Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422		Moe, et al.	1/18/1994	11/5/1990
	5,297,031		Gutterman, et al.	3/22/1994	3/6/1990
	5,297,032		Trojan, et al.	3/22/1994	2/1/1991
	5,305,200		Hartheimer, et al.	4/19/1994	11/2/1990
	5,325,297		Bird, et al.	6/28/1994	6/25/1992
	5,329,589		Fraser, et al.	7/12/1994	6/3/1993
	5,375,055		Togher, et al.	12/20/1994	2/3/1992
	5,394,324		Clearwater	2/28/1995	12/8/1993

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	Office or Country	Number	Date			
	Netherland	9300266	2.10.1993			No
	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
¶	ROCKOFF, T.E; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16.	
¶	MASSIMB, M.N; PHELPS, B.D, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50.	
¶	POST, D.L; COPPINGER, S.S, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3.	
¶	HESS, C.M; KEMERER, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274.	

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Application Number	09/976,301
Filing Date	10/11/01
First Named Inventor	Steve Grove
Group Art Unit	2176
Examiner Name	Not Yet Assigned
Sheet 3 of 8	Attorney Docket Number 3801P053

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	5,426,281	Abecassis	6/20/1995	10/29/1993
	5,553,145	Micali	9/3/1996	8/4/1995
	5,640,569	Miller, et al.	6/17/1997	4/28/1995
	5,664,115	Fraser	RECEIVED 9/2/1997	6/7/1995
	5,689,652	Lupien, et al.	OCT 29 2002 Technology Center 2100	11/18/1997
	5,710,889	Clark, et al.		1/20/1998
	5,715,402	Popolo		6/7/1995
	5,717,989	Tozzoli, et al.		2/3/1998
	5,727,165	Ordish, et al.		10/13/1994
	5,794,219	Brown		3/10/1998
	5,818,914	Fujisaki		12/27/1994
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				3/27/1995
				8/23/1995

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	Office or Country	Number	Date			
	PCT	WO99/63461	12/9/1999			
	PCT	WO95/17711	6/29/1995			

OTHER DOCUMENTS

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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
	LEE, Ho G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV.	
	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12.	

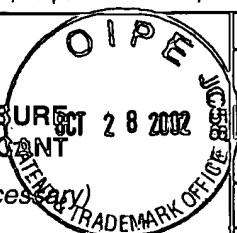
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First Named Inventor	Steve Grove
Group Art Unit	2176
Examiner Name	Not Yet Assigned

Sheet 4 of 8 Attorney Docket Number 3801P053

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	Number				
	5,835,896		Fisher, et al.	11/10/1998	3/29/1996
	5,845,266		Lupien, et al.	12/1/1998	12/12/1995
	5,850,442		Muftic	12/15/1998	3/26/1996
	4,864,516		Gathier, et al	9/5/1989	3/10/1986
	5,205,200		Wright	4/27/1993	1/9/1992
	5,485,510		Colbert	OCT 29 2002	1/16/1996
	5,557,728		Garrett, et al.	Technology Center 2100	9/17/1996
	5,598,557		Doner, et al.	1/28/1997	9/22/1992
	5,657,389		Houvener	8/12/1997	5/8/1995
	5,694,546		Reisman	12/2/1997	5/21/1994
	5,706,457		Dwyer, et al.	1/6/1998	6/7/1995
	5,715,314		Payne, et al.	2/3/1998	10/24/1994

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OTHER DOCUMENTS

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	MARDESICH, J., "Onsale takes auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32.	
	"Onsale joins fray as online shopping picks up speed: INTERNET BOOMS", <u>Computer Reseller News</u> , June 5, 1995, Page 73 (www.dialogweb.com).	
	SIEGMANN, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42 (www.dialogweb.com).	
	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston', May 24, 1995, (pp 3) Dialog Web. 0489267 BW0022.	

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U.S. PATENT & TRADEMARK OFFICE

Complete if Known

Application Number	09/976,301
Filing Date	10/11/01
First Named Inventor	Steve Grove
Group Art Unit	2176
Examiner Name	Not Yet Assigned

Sheet 5 of 8 Attorney Docket Number 3801P053

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	5,771,380		Tanaka, et al.	6/23/1998	10/7/1996
	5,771,291		Newton, et al.	6/23/1998	12/11/1995
	5,799,285		Klingman	8/25/1998	8/30/1996
	5,803,500		Mossberg	9/8/1998	3/27/1997
	5,845,265		Woolston	12/1/1998	11/7/1995
	5,872,848		Romney, et al.	2/16/1999	2/18/1997
	5,873,069		Reuhl, et al.	2/16/1999	10/13/1995
	5,884,056		Steele	3/16/1999	12/28/1995
	5,890,138		Godin, et al.	3/30/1999	8/26/1996
	5,905,974		Fraser, et al.	5/18/1999	12/13/1996
	5,905,975		Aussubel	5/18/1999	1/2/1997
	5,922,074		Richard, et al.	7/13/1999	2/28/1997

FOREIGN PATENT DOCUMENTS

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♦	SCHMID, B.F., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10.	
♦	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", Norwegian Computing Center, No Date Given, www.nr.no/gem/elcom/publikasjoner/enter98e.html .	
♦	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given.	
18	RECK, Martin, "Traing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given.	

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First Named Inventor

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Group Art Unit

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6

of

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	5,926,794		Fethe	7/20/1999	3/6/1996
	5,991,739		Cupps, et al.	11/23/1999	11/24/1997
	6,035,402		Vaeth, et al.	3/7/2000	12/20/1996
	6,044,363		Mori, et al,	3/28/2000	9/2/1997
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	6,058,417		Hess, et al.	5/2/2000	10/23/1998
	6,073,117		Oyanagi, et al.	6/6/2000	3/13/1998
	6,104,815		Alcorn, et al.	8/16/2000	1/9/1998
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	5,803,500		Mossberg	9/8/1998	3/27/1997

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•	VAN HECK, E.; RIBBERS, P.M., "Experiences with Electronic Auctions in the Dutch Flower Industry", Focus Theme, No Date Given.	
•	LEE, H.G., "AUCNET: Electronic Intermediary for Used-car Transactions", Focus Theme, No Date Given.	
•	KLEIN, S., "Introduction to Electronic Auctions", Focus Theme, No Date Given	
•	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", Focus Theme, No Date Given.	

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	5,722,418		Bro	3/3/1998	9/30/1994
	5,924,072		Havens	7/13/1999	1/6/1997
	5,874,412		Hazelhurst, et al.	10/26/1999	9/24/1997
	5,596,994		Bro	1/28/1997	5/2/1994
	5,857,203		Kauffman, et al.	1/5/1999	7/29/1996
	5,857,201		Wright, Jr., et al.	1/5/1999	6/18/1996
	5,857,188		Douglas	1/5/1999	4/29/1996
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				Application Number	09/976,301
				Filing Date	10/11/01
				First Named Inventor	Steve Grove
				Group Art Unit	2176
				Examiner Name	Not Yet Assigned
Sheet	8	of	8	Attorney Docket Number	3801P053

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